



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

BIBDATASHEET**CONFIRMATION NO. 3328**

Bib Data Sheet

SERIAL NUMBER 10/709,329	FILING DATE <i>4/28/2004</i> RULE	CLASS 705	GROUP ART UNIT 3628	ATTORNEY DOCKET NO. 20107/1200838-US1
-----------------------------	---	--------------	------------------------	--

APPLICANTS

Earl Rotman, Toronto,;

William Schreier, New York, NY;

Jeffrey Berson, Mamaroneck, NY;

**** CONTINUING DATA ********Yes nn*

This appln claims benefit of 60/539,526 01/26/2004

**** FOREIGN APPLICATIONS ********None nn*

Foreign Priority claimed 35 USC 119 (a-d) conditions met Verified and Acknowledged	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance <i>nn</i> Examiner's Signature Initials	STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
--	--	---------------------	-------------------	-----------------	-----------------------

ADDRESS

07278

DARBY & DARBY P.C.

P. O. BOX 5257

NEW YORK, NY

10150-5257

TITLE

SYSTEM AND METHOD FOR CREATING TRADEABLE FINANCIAL UNITS

FILING FEE RECEIVED 1612	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
--------------------------------	---	---